

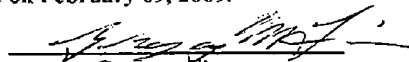
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FINN-002  
PATENT APPLICATION

CERTIFICATE OF FACSIMILE TRANSMISSION

Pursuant to 37 C.F.R. 1.8, I hereby certify that this correspondence is being facsimile transmitted to the United States Patent and Trademark Office on February 09, 2009.

  
Gregory Finn

IN THE UNITED STATES PATENT AND TRADEMARK OFFICE

In re the Application of:

Gregory Finn et al.

Appln. No.: 09/547,409

Group Art Unit: 3692

Filed: April 12, 2000

Examiner: Clement B. Graham

For: INVESTMENT SYSTEM AND METHOD

\* \* \*

AMENDMENT

Commissioner for Patents  
P.O. Box 1450  
Alexandria, VA 22313-1450

Sent Via Facsimile: (571) 273-8300

Sir:

The instant Amendment is being filed in response to the Office Action mailed October 07, 2008, the four month period for response to which extends though February 09, 2009 (February 07, 2009, being a Saturday). A Petition for Extension of Time and fee are being filed concurrently herewith.

A complete listing of all claims in the present application, including current amendments to the claims begins on page 2 of this paper.

Remarks begin on page 12 of this paper.